Curriculum Vitae

RICHARD J. SAMUELS

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CURRENT POSITIONS

Ford International Professor, MIT Department of Political Science, 1992 -

Director, MIT Center for International Studies, 2000-

Founding Director, MIT Japan Program, 1981 –

Adjunct Staff, RAND Corporation, 2009-

PREVIOUS POSITIONS

Chairman, Japan-US Friendship Commission, 2001 - 2008 (and ex officio Chairman, US-Japan Conference on Cultural and Educational Interchange [CULCON], 2001 - 2007)

Department Head, MIT Department of Political Science, 1992 - 1997

Associate Department Head, MIT Department of Political Science, 1989 - 1992

Mitsui Career Development Associate Professor of Contemporary Technology, MIT Department of Political Science, 1984 - 1986

Research Associate, MIT Center for Energy Policy Research, 1980 - 1986

Associate Professor, MIT Department of Political Science, 1984 - 1990

Assistant Professor, MIT Department of Political Science, 1980 - 1984

Faculty Consultant, MIT Industrial Liaison Program, 1980 - 1983

EDUCATION

Ph.D. Massachusetts Institute of Technology, Department of Political Science, June 1980. Doctoral Dissertation: "The Politics of Regional Policy in Japan" (nominated for American Political Science Association Gabriel Almond Prize)

M.A. Tufts University, Department of Political Science, October 1974

A.B. Colgate University, Magna cum Laude with Honors in Political Science, June 1973

Intensive Italian Language Study, Istituto Galilei, Florence, Summers 1997, 1998

Special Student, Harvard University, Department of East Asian Languages, 1976 - 1977

Visiting Research Student, Tokyo University, Faculty of Law, 1977 - 1979

Inter-University Center for Japanese Studies, Tokyo, 1977 - 1978

BOOKS

<u>Special Duty: A History of Japan's Intelligence Community</u>. Forthcoming from Cornell University Press. Japanese translation: Nikkei Publishing.

co-editor (and Corey Wallace). <u>Japan's Pivot in Asia</u>. *International Affairs* (Special Issue), July 2018.

3.11: Disaster and Change in Japan. Ithaca: Cornell University Press, 2013: 274 pp. Japanese translation by Eiji Shuppan, 2016.

<u>Securing Japan: Tokyo's Grand Strategy and the Future of East Asia.</u> Ithaca: Cornell University Press, 2007. Japanese translation: Nikkei Publications. Chinese translation: Peking University Press. Finalist for the 2008 Lionel Gelber International Affairs Book Prize: 277 pp.

General Editor, <u>Encyclopedia of United States National Security.</u> Beverly Hills, California: Sage Publications, 2005.

<u>Machiavelli's Children: Leaders and their Legacies in Italy and Japan.</u> Ithaca: Cornell University Press (English) 2003 and Tōyō Keizai (Japanese), forthcoming. Winner of the 2003 Marraro Prize of the Society of Italian Historical Studies and of the 2004 Jervis-Schroeder Prize of the American Political Science Association: 456 pp.

Co-Editor (with William W. Keller). <u>Innovation and Crisis: Asian Innovation after the Millennium</u>. New York: Cambridge University Press, 2003: 251 pp.

"Rich Nation, Strong Army": National Security and the Technological Transformation of Japan. Ithaca, NY: Cornell University Press, 1994: 441 pp. (Japanese translation, Mita Press; Korean translation, Munwha Ilbo Co.) Winner of the 1996 John Whitney Hall Book Award of the Association of Asian Studies as the best book published on Japan or Korea, and winner of the 1996 Hiromi Arisawa Prize of the Association of American University Presses. Nominated by Cornell University Press for the Woodrow Wilson Foundation Award of the American Political Science Association.

<u>The Business of the Japanese State: Energy Markets in Comparative and Historical Perspective</u>. Ithaca, NY: Cornell University Press, 1987: 359 pp. (winner of 1988 Masayoshi Ohira Prize).

<u>The Politics of Regional Policy in Japan: Localities Incorporated?</u> Princeton, NJ: Princeton University Press, 1983 (nominated for Northeast Association of Graduate Schools Award): 290 pp.

Co-editor (with Myron Weiner). <u>The Political Culture of Foreign Area and International</u> Studies. Washington, D.C.: Brassey's (U.S.), Inc., 1992: 218 pp.

Editor, <u>Political Generations and Political Development</u>. Lexington, MA: Lexington Books, 1977, written under the auspices of the Harvard University Center for International Affairs and the MIT Center for International Studies with an introductory chapter by the editor: 141 pp.

Co-editor (with Ronald A. Morse). <u>Getting America Ready for Japanese Science and Technology</u>. Washington, DC: University Press of America, 1986: 187 pp.

CHAPTERS IN BOOKS

"Writing About Japan," Chapter in Nora Kottmann and Cornelia Reiher, eds., <u>Studying Japan: Research Designs, Fieldwork, and Methods</u>. Forthcoming.

and Corey Wallace. "Introduction" in Richard J. Samuels and Corey Wallace, eds. <u>Japan's Pivot in Asia</u>. <u>International Affairs</u> (Special Issue), forthcoming, July 2018.

and Mayumi Fukushima. "Japan's National Security Council: Filling the Whole of Government?" in Richard J. Samuels and Corey Wallace, eds. <u>Japan's Pivot in Asia</u>. *International Affairs* (Special Issue), forthcoming, July 2018.

and Mike M. Mochizuki. "Japan's Energy Security: Strategic Discourse and Domestic Politics," chapter in Mike M. Mochizuki and Deepa Ollapally (eds.), <u>Energy Security in Asia and Eurasia</u>. London: Routledge, 2017.

and James Schoff. "Japan's Nuclear Hedge: Beyond 'Allergy' and 'Breakout'" chapter in Ashley Tellis, ed. <u>Strategic Asia 2013-2014</u>: <u>Asia in the Second Nuclear Age.</u> Seattle: National Bureau of Asian Research, 2013, pp.233-264.

and Narushige Michishita. "Hugging and Hedging: Japanese Grand Strategy in the 21st Century" Chapter 5 in Henry Nau and Deepa Olapally, eds., <u>Worldviews of Aspiring Powers</u>. Oxford University Press, 2012, pp.146-180.

"Japan's Goldilocks Strategy" chapter in A.T.J. Lennon and A. Kozlowski, eds. <u>Global Powers in the 21st Century.</u> Cambridge, MA: MIT Press, 2008

"Payback Time: Japan-North Korea Economic Relations" Chapter in C.Y. Ahn, N. Eberstadt, and Y.S. Lee eds. <u>A New International Engagement Framework for North Korea?</u>: Contending Perspectives. Washington, DC: Korean Economic Institute, 2004.

"When Leadership Failed," Chapter in Irving Louis Horowitz, ed., <u>Civil Society and Class Politics: Essays on the Political Sociology of Seymour Martin Lipset</u>. New Brunswick, NJ: Transaction Books, 2004.

and William Keller, "Innovation and the Asian Economies" Chapter One and "Continuity and Change in Asian Technology" Chapter Nine in W. Keller and R.J. Samuels, eds., Innovation and Crisis: Asian Innovation after the Millennium. Cambridge University Press, 2003.

and Eric Heginbotham, "Japan" chapter in A. Friedberg and R. Ellings, eds. <u>Strategic Asia</u> <u>2002-3: Asian Aftershocks</u>. Seattle: National Bureau of Asian Research, 2002.

"Comparison and Collaboration: Questions and Quandaries," in N. Tsuya and J. White, eds., Collaboration and Comparison: Implementing the International Social Science Research Enterprise. Volume 5. New York: Social Science Research Council, 2002.

and Eric Heginbotham, "Mercantile Realism and Japanese Foreign Policy During and After the Cold War," in M. Mastanduno and E. Kapstein (eds.) <u>Unipolar Politics: Realism and State Strategies after the Cold War.</u> New York: Columbia University Press, 1999.

and Christopher P. Twomey, "The Eagle Eyes the Pacific: American Foreign Policy Options in East Asia After the Cold War," in M. Green and P. Cronin (eds.) <u>The U.S.-Japan Alliance: Past, Present, and Future</u>. New York: Council on Foreign Relations, 1999.

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and D. Friedman, "How to Succeed Without Really Flying: Japan's Technology and Security Ideology," in M. Frankel and M. Kahler (eds.), <u>Regionalism and Rivalry: Japan and the U.S.</u> in Pacific Asia. Chicago: University of Chicago Press, 1993: 251 - 317.

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and H. Feigenbaum and R.K. Weaver, "Innovation, Coordination, and Implementation in Energy Policy," in <u>Do Institutions Matter? Government Capabilities in the U.S. and Abroad</u>. Washington, DC: Brookings Institution Press, 1993: 49 - 102.

"The Myth of the Independent Intellectual," in R. Samuels and M. Weiner, eds., <u>The Political Culture of Foreign Area and International Studies</u>, Washington, DC: Brassey's (U.S.), Inc., 1992: 17 - 56; (translated and published in Japan in <u>Chuo Koron</u>, May and June 1992.

"Reinventing Security: Japan Since Meiji" in Raymond Vernon and Ethan Kapstein (eds.), <u>Defense & Dependence in a Global Economy</u>. Washington, DC: Congressional Quarterly Inc., 1992: 47 - 68 [originally published in Daedalus, Vol. 120, No. 4, Fall 1991].

and J. Levy, "Institutions and Innovation: Research Collaboration in Japan," in L. Mytelka, ed. <u>Strategic Partnerships: States, Firms and International Competition</u>. London: Pinter, 1991: 120 - 148

"The Business of the Japanese State" in M. Chick (ed.), <u>Government, Industries, and Markets</u>. London: Edward Elgar, 1990: 36 - 60

and B. Whipple, "Defense Production and Industrial Development: The Case of Japanese Aircraft," chapter in C. Johnson, L. Tyson, J. Zysman (eds.), <u>The Politics of Productivity</u>, Cambridge: Ballinger Books, 1989: 275 - 318

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"The Politics of Alternative Energy R&D in Japan" in R. Morse (ed.), <u>The Politics of Japan's Energy Strategy</u>. University of California Institute of East Asian Studies, Berkeley, 1981 (appeared in Japanese translation in 1984): 134 – 166.

ARTICLES IN REFEREED JOURNALS

and Eric Heginbotham. "Active Denial: Redesigning Japan's Response to China's Military Challenge" International Security, Volume 42, Number 4, (Spring 2018), pp.128-169.

and James Schoff. "Japan's Nuclear Hedge: Beyond 'Allergy' and 'Breakout,'" <u>Political</u> Science Quarterly, Volume 130, Number 3, (Fall 2015), pp.475-503.

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and Eric Heginbotham, Ely Ratner. "Tokyo's Transformation: How Japan is Changing and What it Means for the United States," <u>Foreign Affairs</u>, September/October 2011, pp.138-148.

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and J. Patrick Boyd. "Prosperity's Children: Generational Change and Japan's Future Leadership," Asia Policy, Number 6 (July 2008), pp.15-51.

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"Securing Japan: The Current Discourse" <u>Journal of Japanese Studies</u>, Volume 33, Number 1, Winter 2007, pp.125-151

T. J. Pempel, Sheldon Garon, Junko Kato, Yves Tiberghien, and Richard J. Samuels. "Roundtable Discussion of Richard J. Samuels' *Machiavelli's Children Leaders and Their Legacies in Italy and Japan.*" Journal of East Asian Studies Volume 6, Number 1, 2006 (January-April)

and Patrick Boyd, "Nine Lives?: The Politics of Constitutional Reform in Japan" <u>Policy Studies 19</u>, East-West Center, Washington, DC, 2005

"Replica" (Reply) to "Machiavelli's Children: Leaders and their Legacies in Italy and Japan" Ne discutono: Michele Salvati, Mauro Calise, and Leonardo Morlino in <u>Rivista Italiana di</u> Scienza Politica (The Italian Journal of Political Science), Vol. 34, No.2, August 2004.

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and Eric Heginbotham, "Japan's Dual Hedge," <u>Foreign Affairs</u> Vol. 81, September/October 2002, pp. 110-121.

and Eric Heginbotham, "Mercantile Realism and Japanese Foreign Policy," <u>International Security</u>, Vol. 22, No. 4, Spring 1998: 170-202.

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"Pathways of Technological Diffusion in Japan," <u>Sloan Management Review</u>, Vol. 35, No. 3, Spring 1994: 21 - 34.

"Japan in 1989: Changing Times," Asian Survey, Vol. XXX, No. 1, January 1990: 301 - 310.

"Consuming for Production: Japanese National Security, Nuclear Fuel Procurement, and the Domestic Economy," <u>International Organization</u> (Autumn 1989): 625 - 646.

MITI and the Market: The Japanese Oil Industry in Transition," <u>International Review of Economics and Business</u>, Vol. XXXIII, May 1986: 447 - 464.

and S. Coleman, "Applied Japanese Studies in Science and Engineering at American Universities," Engineering Education, January 1986: 45 - 57.

"Public Energy Corporations in the Industrial Democracies: Japan in Comparative Perspective," <u>Journal of Commonwealth and Comparative Politics</u>, Vol. XXII, No. 1, Fall 1984: 53 - 101.

"The Industrial Destructuring of the Japanese Aluminum Industry," <u>Pacific Affairs</u>, Vol. 56, No. 3, Fall 1983: 495 - 509.

"Local Politics in Japan: The Changing of the Guard," Asian Survey, July 1982: 630 - 637.

"Extralocal Linkages and the Comparative Study of Local Politics," <u>Comparative Urban</u> Research, Vol. 5, No. 2 - 3, 1978: 24 - 43.

CONFERENCE VOLUMES

and D. Mills, S. Sherwood (eds.), <u>Technical Japanese for Scientists and Engineers:</u>
<u>Curricular Options</u>, MIT-Japan Science and Technology Program report to the National Science Foundation, 1988: 45 pp.

and Reginald Gillmor (eds.), <u>Japanese Scientific and Technical Information in the United States</u>. Washington, DC: National Technical Information Service, U.S. Department of Commerce, 1983: 165 pp.

BOOK REVIEWS

Review of Ronald H. Spector, <u>In the Ruins of Empire</u> in <u>New York Times Sunday Book</u> Review, 9 September 2007.

Review of Walter E. Grunden, <u>Secret Weapons and World War II: Japan in the Shadow of</u> Big Science in Technology and Culture, Volume 48, April 2007, pp. 460-462

Review of Andrea Boltho, Alessandro Vercelli, and Hiroshi Yoshikawa, eds. <u>Comparing Economic Systems: Italy and Japan in Polis</u>, Spring 2003.

Review of Alex Kerr, <u>Dogs and Demons: Tales from the Dark Side of Japan</u> in <u>New York Times Sunday Book Review</u>, 15 April 2001.

Review of Bradley Richardson, <u>Japanese Democracy: Power, Coordination, and</u> Performance in The Annals, May 1998.

Review of Peter Hartcher, <u>The Ministry: How Japan's Most Powerful Institution Endangers</u> World Markets in New York Times Sunday Book Review, 5 April 1998.

Review of Ivan P. Hall, <u>Cartels of the Mind: Japan's Intellectual Closed Shop</u> in <u>Far Eastern</u> <u>Economic Review</u>, 12 March 1998

Review of Selig Harrison (ed.), <u>Japan's Nuclear Future: The Plutonium Debate and East Asian Security</u> in <u>Survival</u>, 1997

Review of James Bartholomew, <u>The Formation of Science in Japan: Building a Research</u> Tradition in ISIS, Volume 82, Number 1, 1991: 107 - 108

Review of Laura Hein, <u>Fueling Growth: The Energy Revolution and Economic Policy in Postwar Japan</u> in <u>Business History Review</u>, Volume 64, Number 2, Summer 1990: 379 - 382

Review of Leon Hollerman, <u>Japan Disincorporated</u>, in <u>The Journal of Asian Studies</u>, Volume 48, Number 1, February 1989: 165 - 166

Review of Teruo Gotoda, <u>The Local Politics of Kyoto</u>, and Steven Reed, <u>Japanese</u>
<u>Prefectures and Policymaking</u>, in <u>The Journal of Japanese Studies</u>, Vol. 14, No. 1, Winter 1988: 261 - 266

Review of Tetsuo Sakiya, <u>Honda: The Men, The Management, The Machines</u> in <u>Technology Review</u>, February 1983: 13

CONGRESSIONAL TESTIMONY

Briefing to Members of Congress: "Japanese Technology Policy," organized by National Research Council Office of Japan Affairs, 25 April 1991

Testimony submitted to the Committee on Foreign Relations of the United States Senate and to the Committee on Armed Services of the United States House of Representatives: "The Proposed FS-X Co-Development Project," May 1989: 13 pp.

Testimony submitted to the Sub-committee on Economic Stabilization of the Committee on Banking, Finance, and Urban Affairs of the U.S. House of Representatives: "The Japanese Aerospace Industry," April 1989: 6 pp.

Testimony submitted to the Appropriations Sub-committee on Commerce, State and Judiciary of the U.S. House of Representatives, "Budget Appropriations for Japan-U.S. Friendship Commission," April 7, 1987: 8 pp.

OTHER PUBLICATIONS

and Eric Heginbotham. "With Friends Like These: Japan-ROK Cooperation and U.S. Policy," *The Asan Forum*, 1 March 2018.

and Eric Heginbotham. "Will Tokyo's Arms Exports Help or Hurt U.S. Interests in Asia," with Richard J. Samuels, *The Cipher Brief*, 14 July 2017.

and Eric Heginbotham. "Smartening Up Japan's Defenses," Nikkei Asian Review (web), 26 January 2017.

and Eric Heginbotham. "How to Get China to Use Its Leverage against North Korea," <u>The National Interest</u> (web), 19 September 2016.

and Eric Heginbotham. "Poor Substitute: No Japanese Submarines Down Under," <u>Foreign Affairs</u> (web), 3 May 2016.

"Japan's 3.11 Master Narrative Still Under Construction, <u>East Asian Forum</u>, Australian National University, March 2016.

"Abe's History Report Fails Japan-ROK Relations," <u>East Asian Forum</u>, Australian National University, August 2015.

"Something for Everyone in Abe's WWII Statement," <u>East Asian Forum</u>, Australian National University, August 2015.

"Who Decides Japan's Past, And Future," The National Interest, 26 May 2015.

with Paul DeLuca, Eric Heginbotham, et al. "Future Submarine Cost Assurance Analyses: Task One Final Report" Washington, DC: Rand National Security Research Division, Rand Corporation, April 2015. PR-1828-1-AUS

"A Question for Asia: Is Japan Back?" The National Interest, 27 May 2014.

"The Fog of Politics," Author's reply in "Book Review Roundtable" on 3.11: Disaster and Change in Japan. Asian Policy, January 2014, Number 17, pp.147-78.

and David Leheny, "Amateur Hour," Foreign Policy, 20 September 2013.

and Henry R. Nau and Timothy Westmyer, "Japan as a Global Power: Views from Japan," Report of the conference on "Japan as a Global Power: Views from Japan" co-sponsored by the Elliott School of George Washington University, the Council on Foreign Relations, and the MIT Center for International Studies, Washington, DC, June 2013.

"The Evolution of Japan's Grand Strategy," The Oriental Economist. May, 2013. pp.11-13.

and Robert Madsen, "Japan's Black Swan" Foreign Policy, 15 March 2011.

and Eric Heginbotham, Adam Liff, Ely Ratner. "Japan Debates Its Security Options: The USAF in a Dynamic Security Landscape," Paper prepared by the RAND Corporation for Project Air Force, Washington, D.C., 2010.

and Robert Madsen. "Japan, LLP" <u>The National Interest.</u> No. 107, May/June 2010, pp. 48-56.

"Gojūnen Keiko Shite no Nichibei Gunji Dōmei no Rifuōmu" (Reforming the Military Alliance after Fifty Years). Shushin. January 2010, pp.10-14.

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"Author's Response: How Japan Balances Strategy and Constraint" Book Review Roundtable, Asia Policy, No.4, July 2007, pp. 187-211

"Japan's Goldilocks Strategy," <u>Washington Quarterly</u>, Volume 29, Number 4, Fall 2006, pp.111-127. Reprinted in Alexander Lennon, ed. <u>Global Powers in the 21st Century</u> Cambridge: MIT Press, 2008.

"Give and Take: The Outlook for US-Japan Defense Industrial Cooperation," <u>Armed Forces</u> <u>Journal</u>, February, 2006. pp.24-29.

and John Tirman, <u>Audit of the Conventional Wisdom in US Foreign Policy</u>, MIT Center for International Studies, Cambridge, Mass, 2005.

and Taylor Fravel, "The US as an Asian Power: Reality or Conceit?" <u>Audit of the</u> Conventional Wisdom, MIT Center for International Studies, Cambridge Mass, 2005.

and J. Patrick Boyd, "Kenpō Kyūjō nimo Kyūshō Ari? Kenpō Ronsō no Yukue" (Does Article Nine Have Nine Lives?: The Future of the Constitutional Debate in Japan). Ronza (April, 2004), pp.174-183.

"Politics, Security Policy, and Japan's Cabinet Legislation Bureau: Who Elected These Guys, Anyway?" Japan Policy Research Institute Working Paper No. 99, March 2004.

"Koizumi's Muscular Japan" <u>Time Magazine</u>, 20 September 2003.

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"Kishi and Corruption: An Anatomy of the 1955 System" Japan Policy Research Institute Working Paper, No. 83, December 2001.

Bimonthly column – Newsweek Japan, 2001-2005

"U.S.-Japan Security Studies and International Economics: Is There a 'There' There Yet?" pp. 1-13 in <u>Survey of U.S.-Japan Security Studies and International Economics</u>. National Bureau of Asian Research, Seattle, 2001.

and G. Gilboy, E. Heginbotham, and C. Twomey. "Sono Toki: Nihon no Sentaku wa?" (What will Japan's Choices be Then?) <u>Bungei Shunju</u> June 2000 (special edition) pp. 145-174.

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"Nudging Serendipity: The 3C Problem in Comparative Politics," in <u>CP</u>, the newsletter of the Comparative Politics section of the American Political Science Association, Fall 1999.

Bimonthly column on World Affairs, Mainichi Shimbun, 1997 – 2001.

"Is Five Decades Enough Time for Japan to Change?" Keidanren Geppō, January 1997.

Op Ed essays published in <u>The Christian Science Monitor</u>, <u>New York Times</u>, <u>Los Angeles Times</u>, <u>Boston Globe</u>, <u>Nihon Keizai Shimbun</u>, and other U.S. and Japanese newspapers, at least once annually, since 1980.

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Response to G. Hane: "The Real Lessons of Japanese Research Consortia," <u>Issues in Science and Technology</u>, Spring 1994: 13-14.

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"Extralocal Linkages and Urban Politics in Japan," <u>International Studies Notes</u>, Vol. 3, No. 4, Winter 1976: 36 – 39.

UNPUBLISHED CONFERENCE/WORKING PAPERS

"Japan's Pivot in Sinitic Asia," paper presented to the Futures seminar at the National Intelligence Council, April 2017.

"Japanese Technonationalism as Viewed through the 'Wayback Machine,'" Presented to the conference on "The U.S.-Japan Bilateral Economic Relationship: Past, Present, and Future," Center for Strategic and International Studies, Washington, DC March 2017.

"Japan's Intelligence Community in (Slow) Motion," Paper presented to the Conference on "Japan's Grand Strategy in a Changing Asia." Free University of Berlin, June 2016.

"Japan's Nuclear Hedge," Presentation to panel on "Prospects and Limitations of the Humanitarian Initiative: Changing German and Japanese Nuclear Policy?" Graduate School of East Asian Studies, Free University of Berlin, January 2016.

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"Is Japan Back?" Paper presented to the Asia-Pacific Roundtable of the Malaysian Institute of Strategic and International Studies. Kuala Lumpur, June 2014.

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"The Future of the Japan-U.S. Alliance" Paper prepared for the Waseda University Organization for Japan-U.S. Studies conference, Tokyo, June 2008.

"Nationalist Trends in Japan" Paper prepared for the RAND Conference on Asian Nationalism, Washington, D.C., February 2008

"Japan's Emerging Grand Strategy" Paper prepared for the conference on "Pursuing Security in a Dynamic Northeast Asia," Seattle, November 2006.

"Waiting for Goldilocks" in <u>The Freeman Report</u> Washington, DC: Stimson Center, October 2006.

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"The Pacific Century: Promise or Peril," paper presented for the conference on "Changing Conceptions of Security in a Changing Pacific Asia," Malaysian International Affairs Forum, Kuala Lumpur, April 1996.

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"Getting There From Here: Making Cooperation Evolve in U.S.-Japanese Relations," paper presented at the First Annual Chicago Symposium, July 1989: 10 pp

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and D. Eleanor Westney, "A Survey of Japanese Scientific and Technical Information at MIT." Working Paper 87-01 MIT-Japan Science and Technology Program, Cambridge, MA, February 1987: 24 pp.

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"The Regionalization of Japanese Public Policy, paper presented to the SSRC-ACIS Conference on Local Institutions in National Development, Bellagio, Italy, March 1982: 64 pp.

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AWARDS AND HONORS

Bradley Richardson Memorial Lecturer, Ohio State University, February 2017.

Smith Richardson Foundation Research Grant for Study of the History of the Japanese Intelligence Community, 2017-2018.

Albert Einstein Foundation Visiting Fellow - Graduate School of East Asian Studies at the Free University of Berlin, 2015-2017.

Distinguished Speaker - Northeast Asia Council of the Association of Asian Studies, 2014-2016.

Order of the Rising Sun, Gold and Silver Star. Imperial decoration awarded by the Emperor of Japan and the Japanese Prime Minister, November 2011.

2009-2010 EAI Fellowship for the Study of East Asian International Relations.

Henry Wendt III Lecturer, Princeton University, April 2009

Finalist, 2008 Lionel Gelber Prize for best book in international affairs for <u>Securing Japan</u> (Cornell University Press, 2007)

Keynote Speaker, MIT Freshman Convocation, August 2007

Elected member, American Academy of Arts and Sciences, 2005-

Hunsberger Memorial Lecture – American University, April 2005

Smith Richardson Foundation Research Grant for Study of Japanese Foreign and Security Policy, 2005

The 2004 Jervis-Schroeder Prize for International and Historical Studies from the International History and Politics Group of the American Political Science Association, for Machiavelli's Children: Leaders and their Legacies in Italy and Japan (Cornell University Press, 2003).

Edwin McClellan Visiting Fellow in Japanese Studies, Yale University, January 2004.

Hunsberger Memorial Lecture – American University, April 2005

The 2004 Jervis-Schroeder Prize for International and Historical Studies from the International History and Politics Group of the American Political Science Association, for Machiavelli's Children: Leaders and their Legacies in Italy and Japan (Cornell University Press, 2003).

Edwin McClellan Visiting Fellow in Japanese Studies, Yale University, January 2004.

The 2003 Marraro Prize from the Society for Italian Historical Studies, for <u>Machiavelli's Children: Leaders and their Legacies in Italy and Japan</u> (Cornell University Press, 2003).

American Political Science Association Outstanding Teaching Award, 2003.

Dean's Award for Distinguished Service to the School of Humanities, Arts, and Social Sciences, MIT, May 2003.

Visiting Scholar – European Institute of Japanese Studies, Stockholm School of Economics, March 2003.

Outstanding Advisor of the Year – MIT (2001-2).

Residential Scholar – Rockefeller Foundation, Bellagio, Italy, May-June 2000.

Council for the United States and Italy and German Marshall Fund Fellowship for the study of political leadership, 1999-2000

Abe Fellowship for study of political leadership, 1999-2000

John Whitney Hall Prize, 1996 (for Rich Nation, Strong Army).

Hiromi Arisawa Prize, 1996 (for Rich Nation, Strong Army).

Distinguished Lecturer, Association of Asian Studies, 1996.

Elected member, Council on Foreign Relations, 1992 - ; Term member, 1986 - 1991.

National Science Foundation Japan Long-term Visitor Award, Tokyo, 1991 - 1992.

Fulbright Professional Research Fellowship, Tokyo, 1991 - 1992 (Research Associate - Mitsubishi Research Institute).

Masayoshi Ohira Memorial Prize for <u>The Business of the Japanese State</u> as the outstanding book published in 1987 in the social sciences concerning the Asia-Pacific Region, June 1988.

Mitsui Career Development Professorship in Contemporary Technology, MIT 1984 - 1986.

Fulbright Professional Research Fellowship, Tokyo 1983 - 1984 (Visiting Research Associate, Institute of Energy Economics).

Postdoctoral Grant for International Research, Social Science Research Council, Tokyo 1983-1984.

Japan Foundation Doctoral Dissertation Fellowship, 1979.

Fulbright Dissertation Fellowship, U.S. Department of State, 1977 – 1978.

Department of Health, Education and Welfare, Doctoral Dissertation Research Abroad Fellowship, 1978.

Harvard University Japan Institute, Language Training Fellowship, 1977.

Graduate Student Associate, Harvard University, Center for International Affairs, 1976 - 1977.

International Studies Association, Summer Dissertation Fellowship, 1976.

Executive Secretary, Harvard-MIT Joint Seminar on Political Development (JOSPOD), 1975 - 1976.

Full tuition scholarships, MIT 1974 - 1976, Tufts University, 1973 - 1974.

David Calder Foundation Grant for the Study of West African Politics, Cape Coast, Ghana, 1972.

Phi Beta Kappa, Colgate University, 1973.

CLASSROOM TEACHING EXPERIENCE

"Research Seminar in Applied International Studies" - MIT Department of Political Science

"The Rise of Asia" – HEX undergraduate course with Taylor Fravel and Vipin Narang, MIT Department of Political Science

"The Odd Couple – Italy and Japan" Freshman Advising Seminar, MIT Department of Political Science

"Introduction to Japan" MIT Department of Political Science

"Junior Colloquium in Political Science and Public Affairs," MIT Department of Political Science"

"Japan and East Asian Security," MIT Department of Political Science

"Japan and East Asian Security," MIT Department of Political Science

"Politics and Policy in Contemporary Japan," MIT Department of Political Science

"Comparative Politics of Business-Government Relations," MIT Department of Political Science

"Comparative Urban Politics," MIT Department of Political Science

"Field Seminar - Comparative Politics," MIT Department of Political Science

"Development, Underdevelopment, and the Third World," Visiting Lecturer, Tufts University Experimental College, Fall 1975 (one-semester faculty appointment)

PUBLIC TALKS

"The Japanese Intelligence Community," Presentation to research colloquium at the Graduate School of East Asian Studies at the Free University of Berlin, June 2018.

"Japan's Pivot in Asia," presentations at: University of Sydney Centre for International Security Studies Consular Series, Sydney Australia, March 2018; the annual meeting of the International Studies Association, San Francisco, April 2018; German Foreign Ministry June 2018.

Keynote Speaker, "Thesis in Dialogue" Middlebury College, February 2017.

"The Futures of the Japan-U.S. Alliance," Lecture to Seminar XXI, Washington, D.C., December 2016.

Panelist: ""Choices and Changes in Japan's Security Environment," Center for Global Partnership's 25th Anniversary Symposium, Tokyo. November 2016.

Keynote Lecture: "Allies Eye America," Einstein Foundation, Berlin. November 2016.

Chair: "Racism, Violence, and Democracy," CIS Starr Forum, MIT. October 2016.

Chair and discussant for panel on "Promoting Peace and Stability in East Asia: Theory and Policy," Annual meeting of the International Studies Association, Atlanta, March 2016.

Panel Discussant—"Domestic Politics and Grand Strategy in East Asia," Program on US-Japan Relations, Harvard University. February 2016.

Lecture—"Sino-Japanese Relations" Presidency of the Italian Council of Ministers, Rome, January 2016.

Lecture—"Japan's Nuclear Weapon Posture and Options" Berlin, January 2016.

Keynote Address—"Back to the Sino-Japanese Future" delivered to the Conference on "Globalizing Rivalry: Sino-Japanese Interaction in World Politics," Free University of Berlin, November 2015.

Panel Discussant—Book Conference on <u>Second Best Justice</u>: The <u>Virtues of Japanese</u> <u>Private Law</u>, by J. Mark Ramseyer. Harvard Law School (September 2015).

Panel Discussant - Conference on Global History and the Meiji Restoration, University of Heidelberg, July 2015.

"Japan: Grand Strategy and the Future of North East Asia," Lecture delivered to the Albuquerque International Association, March 2015 and to the Japan Foundation Summer Workshop on Japanese Studies, Kyoto, July 2015.

"Japan's Grand Strategy and Nuclear Weapons Options," Lecture delivered at the Sandia National Laboratory's National Security Speakers Series, Albuquerque, March 2015.

"TJ Pempel's Contributions to the Study of Japan and East Asia," Remarks delivered to panel: "Japan, Economics and Security in the Asia-Pacific Region: A Roundtable in Honor of T.J. Pempel," International Studies Association, New Orleans, February 2015.

Discussant – Panel on "Extended Deterrence in Asia," Conference on U.S. Nuclear Policy toward China, George Washington University, December 2014.

"Evaluating Japan's New Secrecy Law," Presentation to the Council on Foreign Relations' Working Group on Japanese Nationalism, Washington, DC, November 2014 and Presentation to the conference on Japanese National Security, Free University of Berlin, January 2015.

"Paths to Constitutional Change in Japan," Reischauer Institute Symposium on the Japanese Constitution, Harvard University, November 2014.

"Using History in Contemporary Japan," Keynote speech to the annual Japan conference at the Australian National University, Canberra, October 2014; Dinner address at the annual meeting of the Harvard University Reischauer Institute, November 2014.

"Japanese Grand Strategy: The Moving Parts," Presentations at Duisburg-Essen University (July 2015); US Naval War College, Newport, RI (October 2014); Harvard Kennedy School of Government, (October 2014); US-Asia Centre, University of Western Australia, Perth (October 2014); United States Studies Centre, The University of Sydney (October 2014); and George Washington University (November 2014); University of Toronto Munk School (September 2015); US Naval War College (October 2015); Indiana University (February 2016), Sarasota Institute of Lifelong Learning (February 2016); Middlebury College (August 2016); Chatham House, London (January 2017); Cambridge University, UK (January 2017); Ohio State University (February 2017).

"Political Change in Japan" — Address to the annual meeting of the National Association of Japan America Societies, San Diego, September 2014.

"Pivot to What? The Case of Japan" — Presentation to the Frankel Seminar on National Security Affairs, Washington, D.C., April 2014.

Panel Chair, "Japanese Foreign and Security Policy" — Annual Meeting of the International Studies Association, Toronto, April 2014.

Co-Chair, Conference on "The Rise of China and Implications for the Japanese Military," MIT Center for International Studies, February 2014.

"Sino-Japanese Relations: Back to the Future?" — Presentation to the 49th Annual Security Conference of the Norwegian Atlantic Committee, Norwegian Institute of Defense Studies, Nobel Institute, Oslo. February 2014.

"Japan's Nuclear Hedge: Beyond 'Allergy' and 'Breakout'" — Freie Universität Berlin, October 2013.

"Japan's National Security Discourse" — Presentation to the panel on Asia at the MIT Security Studies Program seminar for senior Congressional and Executive Branch staff, April 2013.

"Japanese Security Policy after 3.11," — Department of War Studies, King's College London (November 2012).

"The Rhetoric of Crisis: Japan's Response to 3.11" — Lectures delivered at Libera Università Internazionale degli Studi Sociali Guido Carli, Rome (April 2012); German-Japan Young Leaders' Forum, Berlin (June 2012); Freie Universität Berlin (July 2012); Harvard University (February 2013); University of Texas, Austin (February 2013); Texas A&M University (February 2013); George Washington University (February 2013); The Japan Society of New York (March 2013); MIT Japanese Student Association (March 2013); World Affairs Council of Boston (March 2013); MIT Alumni Association of Tokyo (March 2013); Tokyo University (March 2013); University of Connecticut (March 2013); Princeton University (April 2013); University of Washington (May 2013), National Bureau of Asian Research (May 2013), Asia Society of Houston (September 2013), University of California, Berkeley (September 2013), Università degli studi di Napoli "L'Orientale" (October 2013), Japanisch-Deutsches Zentrum, Berlin (October 2013), Portland State University (November 2013), University of Virginia (November 2013), Roger Williams College (November 2013), Sarasota Institute for Lifelong Learning (March 2014), University of Nebraska (April 2014), Pomona College (September 2014), Wesleyan University (February 2015), University of Pennsylvania (April 2015), Japan Foundation Summer Workshop on Japanese Studies, Kyoto (July 2015); Middlebury College (February 2017).

"Crisis and Strategy: Framing Japan's Choices" – Lecture delivered to the Mitsui Gyōsaiken, Tokyo (February 2012).

"Japan in the World: Past, Present, and Future,"—Lecture delivered to the senior management of the Nissan Motors R&D Center, Atsugi (January 2012).

"PREP@MIT: The MIT Puerto Rico Economic Project" — Keynote address to the Department of Commerce Economic Development Summit on Puerto Rico, San Juan (June 2011)

"Asia's International Relations" — Portland State University (May 2011)

"Post-3.11 Japan" — Remarks to Roundtable on East Asia with former Foreign Secretary David Miliband, MIT Center for International Studies (April 2011)

"Japan's National Nightmare: The Way Forward" — Dinner remarks to the United States Senators' Chiefs of Staff, Washington, DC. (April 2011)

"Making Security Legal" — University of Michigan (April 2011)

"Japan's Grand Strategy after the Earthquake" — Keynote address to annual meeting of the Asian Studies Development Program, Boston. (March 2011)

Chair, speaker: "Japan's Nuclear Crisis" CIS Starr Forum, MIT (March 2011)

Discussant: "New Developments in Japanese Foreign Policy, Foreign Policy Research Institute" — Washington, DC (November 2010)

Moderator, "Nuclear Tipping Point" — film and presentation by former Secretary of State George Shultz, MIT, October 2010.

Panel Chair, "Domestic Sources of East Asian International Relations," Asia Policy Assembly, Washington, DC, June 2010)

"Triangulating Asian Security" Keynote address to conference on US-China-Japan relations, Southern Methodist University, February 2010.

"The International Relations of East Asia" — US State Department Program for Visiting Scholars, University of Florida, July 2009.

"Kidnapping Politics" — Princeton University (May 2009), Free University of Berlin (June 2009), Columbia University (October 2009), University of Southern California (November 2009), East Asian Institute (Seoul – December 2009), Interdisciplinary Center (Herzliya – July 2010), New York University (November 2010).

Discussant: Presentation by Professor Eiko Siniawer – <u>Ruffians, Yakuza, Nationalists: The Violent Politics of Modern Japan.</u> Reischauer Institute, Harvard University, April 2009.

The New Administration and the Future of the Alliance — Japan Foundation Panel, Chicago, November 2008.

"Making Security Legal" — Oberlin College, September 2008; Harvard University, November 2008

Moderator: Discussion of film "About Face" — Japan Society of New York, September 2008. (Documentary film about Japan's Self Defense Forces by Micah Fink for PBS.)

"The Future of the Japan-US Alliance" and "Japan's Grand Strategy" — Presentations to the United States Institute on American Foreign Policy, University of Florida, Gainesville, July 2008.

"Maritime Security and the Japan Coast Guard," Presentation to the 19th Annual Maritime Security Conference, Canadian Coast Guard, Halifax, June 2008.

Discussant – Panel on Extremism in Japanese Politics, Annual Meeting of the Association for Asian Studies, Atlanta, GA, April 2008.

Keynote Speaker, Conference on "Security and Insecurity: New Challenges for Japan" Berlin, November 2007.

Panelist: "Global Policy Council", Bertelsmann Stiftung, Berlin, October 2007

"Japan's Foreign Policy Discourse" paper presented to conference on Foreign Policy Discourse, George Washington University, September 2007.

"Asian Nationalism", Center for Strategic and International Studies, Washington, DC., September 2007.

"Public Intellectuals and Political Science" presentation and panel chair, Annual Meeting, American Political Science Association, Chicago, September 2007.

Discussant: Panel on "Nuclear Logic," Annual Meeting, American Political Science Association, Chicago, September 2007.

Discussant for Japan-US Alliance Crisis Simulation, Center for Strategic and International Studies, Washington, D.C. May 2007.

Discussant for Lecture by Ambassador Akio Kawato, Carnegie Endowment, Washington, D.C. April 2007.

"The Democratization of Postwar Japan" Lecture to Libera Università Internazionale degli Studi Sociale, Rome March 2007.

"Japanese Grand Strategy— Past and Future," Presentation to the German Japan Studies Institute, Tokyo (May 2006), MIT Security Studies Program (September 2006), Brigham Young University (October 2006), US Asia Pacific Forum, Washington, DC (November

2006), National Bureau of Asian Research, Pyle Center, Seattle (November 2006), Energy Industry Forum, Detroit (December 2006), Foreign Service Institute, Yokohama (January 2007), Harvard University (February 2007), Yale University (March 2007), University of Milan (March 2007), University of Washington (May 2007), Carnegie Council (May 2007). Boston Foreign Affairs Council (September 2007), Council on Foreign Relations, New York (December 2007), Japan Society of New York (December 2007), GIGA Hamburg (November 2007), Free University of Berlin (November 2007), Stanford University (January 2008), Temple University Tokyo (January 2008), Foreign Correspondents' Press Club – Tokyo (January 2008), World Affairs Council, Santa Rosa, CA (February 2008), University of Texas (February 2008), Michigan State University (February 2008), Georgia Tech (April 2008), Colgate University (May 2008), University of Florida (June 2008), World Affairs Council, Albuquerque (September 2008), London School of Economics (October 2008), Oxford University (October 2008), Brookings Institution (November 2008), Committee on Foreign Relations, Little Rock (April 2009), European University Institute, Fiesole, Italy (November 2009), Peking University (December 2009), Fudan University (December 2009).

"The Goldilocks Challenge in East Asia: Amassing Power that is Not too Hard, Not too Soft, but Just Right." Speech to Temple University Japan, Japan-America Society of Tokyo (November 2005), Keizai Kōhō Center (December 2005), Ritsumeikan Asia Pacific University, Beppu Japan (April 2006) and Mie University (April 2006), MIT (September 2006), University of Milan (March 2007).

Moderator: Conference on Globalism and the National Economy, Keizai Kōhō Center and MIT Japan Program, Keidanren Kaikan, Tokyo, January 2006.

Chair- Panel on "Implementing the Six-Nation Joint Statement: Issues and Korea-U.S. Cooperation" Korean Political Science Association, Seoul. December 2005.

"Roles of Political Science for International Peace and Inter-Korean Integration," Remarks Prepared for Delivery at the Korean Political Science Association, Seoul. December 2005.

"Jointly Managing the Japan-US Alliance: New Options for a Pivotal Japan" Paper prepared for presentation to the Institute for International Policy Studies, Tokyo, November 2005; Mitsui Gyōsaiken, Tokyo, December 2005; and Keizai Koho Center, Tokyo, December 2005.

Moderator: Conference on Chinese Science and Technology, Keizai Kōhō Center, Keidanren Kaikan, Tokyo, November 2005.

"Japan-US Cooperation in Defense Technology," Paper prepared for presentation to the conference on "Transforming the U.S.-Japanese Alliance: Toward Greater Defense Cooperation and Integration," American Enterprise Institute, Tokyo, October 2005.

"Chinese and Japanese Soft Power" speech to Businessmen's Study Group of former Foreign Minister Kawaguchi Yoriko, August 2005.

"Constitutional Reform in Japan" Program on US-Japan Relations, Harvard University, April 2005; Center for Strategic and International Studies, June 2005

"Leadership in Italy and Japan" Hunsberger Lecture, American University, April 2005

Respondent: "Author Meets His Critics – <u>Machiavelli's Children</u>" Association for Asian Studies, Chicago, April 2005

"New Developments in Japanese Security Policy" Seminar XXI, Airlie House, January 2005; German Council on Foreign Relations, March 2005

"Japan's Foreign Policy Challenges: Coping with China and North Korea" at State
Department briefing for Ambassador-designate Tom Schieffer, Washington, January 2005

"Academic Freedom and Government Funding" Keynote address to SSRC Abe Fellows Annual Retreat, January 2005

"Article Nine and East Asian International Relations" Brookings Institution, Washington, DC, December 2004

"Soft Power in East Asia" Remarks prepared for the Conference on "Asia's Search for a Security Community and American Common Sense" Army & Navy Club, Washington, DC, October 2004

Paper Discussant – Olin Institute Conference on "International Security and Economy" Harvard University, June 2004

"Japan's Security Strategy" Current Strategy Forum, Naval War College, Newport, RI, June 2004

Conference Chair, "The Democracy Challenge for Burma and North Korea" U.S. Department of State, Washington, DC, June 2004

"Soft Power and Japan," Discussant for presentation by Joseph Nye. Harvard University, April 2004

"Civil-Military Relations in Japan" Yale University, January 2004; Harvard University, March 2004

"Whatever Happened to Japan?" Boston Seminar, September 2003

"Who Elected These Guys Anyway: The Cabinet Legislative Bureau," Lecture to Civil Military Relations Working Group, MIT, September 2003

"The Future of the Research University" Address at Gifu University, June 2003.

"The Asian Energy Market" Presentation to annual conference of the MIT Energy Laboratory, May 2003.

"Has Japan Changed...Yet?" Lecture to Transportation and Energy Conference, Fairfax, VA, April, 2002.

"Japan in Asian Security" Lecture to East Asian Institute, Columbia University, April, 2002; Japanisch-Deutsches Zentrum, Berlin, September, 2002; Bocconi University, Milan, October 2002; University of Naples, October, 2002; University of Pennsylvania, October, 2002; Doshisha University, November, 2002 (in Japanese); Institute for Defense and Strategic Studies, Singapore, January 2003; Lincoln Laboratory, February 2003; Stockholm School of Economics, March 2003; Danish Institute for Foreign Policy Studies, Copenhagen March 2003; Grinnell College February 2004; American University, April 2005; Portland State University, May 2005.

"Generational Change and Political Leadership in Japan" Lecture to Brookings Institution, Washington, DC, April 2002.

"Why Leadership Failed" Presentation at special session in honor of Seymour Martin Lipset: "Democracy: Determinants and Constraints" Annual meeting of Eastern Sociological Association, Boston, March, 2002.

Presentation on "Japanese Leadership and National Security" at Conference on Japanese Leaders Priorities in 2002, Bureau of Intelligence and Research, National Intelligence Council, U.S. Department of State, Washington D.C., January, 2002.

Panelist: "Where Does the Japanese Economy Go from Here?" Columbia University Graduate School of Business, November, 2001.

Panel Discussant, Conference on U.S.-Japan Relations, Economic Strategy Institute, Washington DC, October, 2001.

"Japanese National Security" Seminar XXI, Airlie House, VA. February 2001.

"Political Leadership in Japanese and Italian History" Tokyo University Institute for Social Science, March 2000; Program on U.S.-Japan Relations, Harvard University, November 2000; Bocconi University, Milan, October, 2002; Wesleyan University, October, 2002; Stanford Kyoto Center, November, 2003; Portland State University, January 2003; National University of Singapore, January 2003; University of California, Berkeley, April 2003; Stanford University, April 2003; Japan Society of New York, March 2003; Foreign Correspondents Club of Japan, Tokyo, June 2003; Italian Cultural Center, Tokyo, June 2003; New America Foundation, Washington, D.C., September 2003; Japan Foundation, Rome, October 2003; German Japan Institute, Berlin, October 2003; University of Trento, October 2003; Princeton University, November 2003; Yale University, January 2004; Pomona College, April 2004; Southwestern University, April 2004; International Leadership Association, November 2004; Ohio State University, October 2004; University of Warsaw, March 2005; American University, April 2005; International House of Japan, Tokyo, October 2005; Good Day Book Store, Tokyo, May 2006.

Panelist: "Working with Japanese Industry" Massachusetts Business Roundtable, September 2000.

Faculty Mentor. Graduate Student Conference on National Identity and Public Policy in Comparative Perspective, Princeton University, September 2000.

Panel Chair: "A Lasting Occupation: The Political Effects of the US on Japan's Postwar National Identity" Annual Meeting of the American Political Science Association 31 August 2000.

"How Much is Japan Changing?" Lecture to Graduate School of Business and Department of Economics INSEAD Fontainebleau, France, October 1999, and Johns Hopkins School of Advanced International Studies, Bologna, October 1999.

"The JITMT Experience," National Science Foundation Workshop on Education about Science and Technology in East Asia, Washington DC, April 1999.

"From Bullying to Buying: Prospects for Foreign Direct Investment in Japan," Canada-Japan Trade Council, Toronto, April 1999.

"Political Leadership in Italy and Japan," Brandeis University, April 1999.

"Future Prospects for the U.S.-Japan Alliance," Address to Sixth Annual National Conference of the Asian Studies Development Program, Lowell, MA, March 1999.

Conference Chair and Speaker, "The U.S.-Japan-China Strategic Triangle," MIT Japan Program and Gaikō Forum, March 1999.

"Technonationalism Revisited," East Asia Colloquium, University of Chicago, January, 1999.

Lincoln Laboratory, February 1999; University of Washington, March 2001; Ohio State University, April 2001.

"U.S.-Japan Policy and Asian Security," University of California, Berkeley, CA, January 1999.

Conference Chair and Overview Speaker, "From Bullying to Buying: Opportunities for Foreign Investment in Japan," MIT, Cambridge, MA, January 1999.

Keynote Address to Workshop on "Defense Industries in National Systems of Innovation," Cornell University, Ithaca, NY, October 1998.

Panelist: "Alliances," Paper presented at the Summer Retreat of the Olin Institute, Harvard University, June 1998.

Panelist: "Tale of Two Regions," Paper presented at Center for European Studies, Harvard University, March 1998.

"Japanese Security and Economy," Hanscom Air Force Base, Lexington, MA, December 1997.

"Japan as a Mercantile Great Power," Office of the Secretary of Defense, Washington, D.C., September 1997.

"Energy and Security in Asia," Opening and closing remarks to MIT conference on Energy & Security in Asia, September 1997.

"The Dynamic Effects of U.S.-Japan Aerospace Offsets," Presentation to the Science, Technology and Economic Policy Conference organized by the National Economic Council of the President, National Research Council, June 1997.

"U.S. Interests in Asia," Presentation to the Institute for Public Policy, Pepperdine University, May 1997.

"The Odd Couple: Italy and Japan in Comparative Historical Perspective," Presentation to the U.S.-Japan Program, Harvard University, February 1997; Thursday Morning Group, Cambridge, MA, February 1998.

"Japan and Asian Security," Presentation to the Executive Program for Colonels and Senior Colonels of the People's Liberation Army, Kennedy School of Government, Harvard University, January 1997.

"The China Factor in U.S.-Japan Relations," Japan Institute for International Affairs and Fujitsu Research Institute, Tokyo, December 1996.

Moderator/Commentator, Conference on "Asia-Pacific Security: The Next Questions," Abe Fellowship Program, Tokyo, December 1996.

"Japan's Security Choices," Lecture to the Olin Institute, Harvard University, September 1996.

"The Future of U.S.-Japan Relations," Naval War College, Newport, RI, July 1996.

Panel Moderator, "The Future Shape of the Pacific Region's Aircraft Industry," 29th Annual International Meeting of the Pacific Basin Economic Council, May 1996.

"Technological Innovation in Japanese Industrial History," Presentation to University of Lowell History and Innovation Study Group, October 1995 and the London School of Economics, January 1996.

Panel Chair and Discussant, "The Limits of National Innovation Systems as a Unit of Analysis," Annual Meeting of the American Political Science Association, Chicago, September 1995.

"Japan as a Technological Superpower" Speech delivered to the Department of Political Science, University of Bologna, Bologna, Italy, September 1995.

Discussant, "Jobs on the Wing" by Randy Barber, Economic Policy Institute, Washington, D.C., March 1995.

with Jim Chung, "Marketable Permits and the Technology for Technology Initiative," Presentation to the Center for Science and International Affairs, Kennedy School of Government, Harvard University, Cambridge, MA, March 1995.

"Dual-Use Technology in Japan," Presentation to the European Commission Conference on Defense Related Research and Technology in Transition," Brussels, Belgium, January 1995 and the Italian National Research Council, Rome, Italy, May 1995.

"Technology and National Security in Japan," Speech delivered to Washington University, St. Louis (December 1994); University of Pittsburgh, Pittsburgh, PA (December 1994);

Institute of Foreign Affairs and National Security, Seoul, Korea (October 1994); The Economic Strategy Institute, Washington, D.C. (October 1994); The Institute for Strategic Studies, Kuala Lumpur, Malaysia, (July 1994); Harvard University, Cambridge, MA (April 1994); Northwestern University, Chicago, IL (February 1994); National Research Council Conference on "Sources of Friction and Cooperation in High Technology Development and Trade", Washington, D.C. (May 1995); Carnegie Mellon University, Pittsburgh, PA (November 1996); University of Michigan, January 1997.

Panel Chair and Discussant, "Transitions from Dominant Party Systems," Annual Meeting of the American Political Science Association, New York, NY, September 1994.

"Studying Institutions," Remarks delivered to the Conference on Meiji Japan, Reischauer Institute, Harvard University, May 1994.

Panelist: "Acquisition Reform," First Annual James B. Doolittle Symposium - Defense and Arms Control Program, Massachusetts Institute of Technology, April 1994.

Panelist: Conference on "Reconstituting Italy: Sources of Pathology and Forces for Reform," Harvard University Center for European Studies, Cambridge, February 1994.

"Science, Technology and National Security," Address to the Korean Federation of Industries, Seoul, January 1994.

Panel Chair, "New Directions in Japanese Science and Technology," Annual Conference of the Association of Japanese Business Studies, Vancouver, January 1994.

"Innovative Paradigms - Japanese Style," Lecture delivered to the conference on "The Future of the European Automobile," Turin, Italy, November 1993.

"Achieving Reciprocity with Japan in Science and Technology," Briefing for Cabinet Undersecretaries, National Economic Council, Washington, DC, November 1993.

"National Security - Japanese Style," Speech to the World Economic Forum, Cambridge, MA, September 1993. Also Harvard University and Northwestern University, February 1994.

"Trade, Technology, and Competitiveness in US-Japan Relations, Institute for Policy Studies and Institute for Southeast Asian Studies, National University of Singapore, July 1993. <u>Commercial Times</u>, Taipei, June 1993.

Briefing on US-Japan relations to Ambassador-designate Walter Mondale, MIT Japan Program, Cambridge, MA, July 1993.

"Japan's Technology & Security Ideology," Briefing to Senior Government Officials, U.S. Department of Defense, National Defense University (Ft. McNair), Washington, DC, August 1992, to the Assistant Secretary of Defense for International Security Affairs, the Pentagon, March 1993, and to the Defense Systems Management College, Ft. Belvoir, VA, March 1993.

Panelist: "U.S. Policy Options," Presentation to National Research Council Workshop on "Components Dependency," March 1993.

Chairman: "Getting Inside the Japanese Political System," MIT Japan Program Conference, February 1993.

"The Japanese Aircraft Industry," Briefing to the Ambassador, U.S. Embassy, Tokyo, May 1992; Briefing to Canadian Aerospace Industry Association, April 1993; Center for Japanese Studies, National University of Singapore, July 1993; Lecture to Erenco, Almelo, Netherlands, November 1993; Lecture to conference on "Japan as a Techno Economic Superpower: Implications for the United States," Los Alamos, NM, November 1993.

Panelist: "Current Security Proposals from a Cultural and Historical Perspective," CULCON, Tokyo, May 1992.

"Conflict and Cooperation in U.S.-Japan Science and Technology," Lecture to 2nd Annual Symposium, Kanagawa Science Park, Kawasaki, January 1992 (in Japanese).

"The Inter-diffusion of Civilian and Military Technology," presented to Japan Society for the History of Science, October 1991 (in Japanese).

Panel Moderator, "U.S.-Japan Media: The View of the Coverees," Mansfield Center for Pacific Affairs, Honolulu, May 1991.

Conference Moderator, "The 21st Century: Can America Keep up with Japan?" Japan Society of Boston Annual Business Conference, March 1991.

"`Rich Nation, Strong Army' and Japanese Technology in Historical Perspective," lecture to the Program on U.S.-Japan Relations, Harvard University, December 1990.

Workshop Chairman, "R&D Consortia and U.S.-Japan Relations," National Research "Guns, Butter, and Technology Diffusion," Conference on "Beyond the Cold War in the Pacific," University of California, San Diego, June 1990. Also delivered for United States Information Service, U.S. Embassy, Tokyo and International Institute for Global Peace, Tokyo, November 1990; Cornell University, February 1991; Columbia University, March 1991.

"Understanding Japan, Incorporated," Public Affairs Forum of Mansfield Center, Helena, Montana, May 1990.

"Toward a National Policy in Machine Translation," presentation to the Conference on Machine Translation, National Academy of Sciences, Washington, DC, December 1989.

"The Japanese Technical Challenge," University of Queensland, Brisbane Australia, August 1989; Applied Physics Laboratory, Johns Hopkins University, Baltimore, October 1989; U.S. Department of Commerce, March 1991.

"Decision Dilemmas— The Case of the FS-X," Briefing to the Japan Committee of the National Research Council, National Academies of Sciences and Engineering, Washington, DC, June 1989.

"Guns, Butter, and Jet Fighters," MIT Industrial Liaison Program Symposium on Industrial Technology, April 1989, Columbia University October 1989, Brandeis University, March 1990.

Panel moderator, "Information Societies," Japan Society of Boston, Annual Symposium on U.S.-Japanese Relations, March 1989.

"Managing Parity: Alliance Security and the Defense Technology Base." Invited Lecture to the Policy Study Group, Tokyo, January 1989.

"Prospects for U.S.-Japanese Scientific Cooperation." Harvard University Reischauer Institute, April 1988; Bates College, May 1991.

Panel moderator, "The Pacific Basin." Japan Society of Boston, Annual Symposium on U.S.-Japanese Relations, March 1988.

Panelist, U.S.-Japanese Relations in Science and Technology. The Brookings Institution, January 1988.

Chairman and organizer, NSF Conference on Technical Japanese Language Curricula, MIT, Endicott House, November 1987.

"The Political Economy of Japanese Research Collaboration," University of Pittsburgh, March 1987; Princeton University, October 1987; University of Toronto, April 1988; Industry Symposium, Paris, May 1988; Hudson Institute, Indianapolis, March 1991.

"Technology Policy and Japanese Industry," Faculty Seminar, St. Michael's College, Burlington, VT, March 1987.

Moderator, "Power and Authority: East Asian and American Business and Political Perceptions," Japan Society of Atlanta, November 1986.

Conference moderator, "Defining U.S. Needs to Monitor Foreign Science and Technology for Greater International Competitiveness," U.S. National Science Foundation and Office of Naval Research, October 1986.

Discussant, "Japanese Industrial Policy," paper by Professor Hugh Patrick, Columbia University, Japan Seminar, December 1985.

"The Universities' Response to the Overseas Challenge," speech delivered to Fall Meeting of the Industrial Research Institute, Minneapolis, October 1985; delivered to Mitsui Group, Tokyo and Kansai Chapter of Keidanren, Osaka, October 1985 (in Japanese); Japan Societies of Atlanta, Tampa, May 1986.

Co-chairman and organizer, "Getting Ready for Japanese Science and Technology," Symposium, The Wilson Center, Smithsonian Institution, Washington, DC, February 1985.

"MITI and the Oil Market," Columbia University, December 1984; Duke University, January 1985; North Carolina State University, January 1985; Harvard University, February 1985.

"Energy and the State," Institute of Energy Economics, Tokyo, July 1984 (in Japanese).

"High Technology and U.S.-Japanese Relations," Annual Meeting of Japan Societies of America, Tokyo, July 1984.

"Japanese Scientific and Technical Information in the United States," talk delivered to Congressional Fellows, Office of Technology Assessment, April 1983.

Panel organizer and paper presenter, "Toward a Political History of Mid-Century Japan," Annual Meeting of the Association of Asian Studies, San Francisco, March 1983.

Chairman and organizer, "Workshop on Japanese Scientific and Technical Information," MIT, January 1983.

"Japanese Energy Policy," lectures delivered to European energy firms in Milan, Rome, December 1982.

"Japan's Institutions for Long Range Planning," speech to the North American Society for Corporate Planning, November 1982.

Chairman, "High Technology and Japan's Industrial Future," sponsored by the MIT-Japan Science and Technology Program and The Japan Society of Boston, September 1982.

Panel discussant, "R&D in Japan," Annual Meeting of the Association for Asian Studies, Chicago, April 1982.

"Japanese Public Policy," briefing to IBM Science Advisory Council, May 1981.

Chairman, "Japanese Industry and Technology," MIT Industrial Liaison Program Symposium, April 1981.

"Political Bosses in Japan," Harvard University Japan Forum, February 1981.

"The Myth of the Monolith and Other Rude Awakenings," speech to MIT Alumni Council, November 1980 (excerpted for The Christian Science Monitor, March 30, 1981).

Guest lecturer, Meiji University, "Regional Policy" (in Japanese), November 1979.

Guest lecturer, Nippon Kokan K.K., "Japan and the World: Choices for the 1980s," October 1979.

Guest lecturer, Nippon Kokan K.K., "Business Strategies in the Third World," November 1978.

Guest lecturer, Senshu University, "Urban Politics in Japan and the United States" (in Japanese), November 1978.

MANUSCRIPT REVIEWER

American Political Science Review, Asian Security, Asian Survey, Business History Review, Columbia University Press, Comparative Politics, Cornell University Press, Harvard University Press, International Organization, International Security, Issues in Science and Technology, Japanese Journal of Political Science, Journal of Asian Studies, Journal of Economic Issues, Journal of Institutional Economics, Journal of Japanese Studies, Pacific Affairs, Pacific Review, Polity, Review of International Studies, Security Studies, Social Science History, Technology and Culture, Yale University Press

OP-EDS

New York Times, LA Times, Boston Globe, International Herald Tribune, Mainichi Shimbun, Christian Science Monitor, Yomiuri Shimbun, Asahi Shimbun, Foreign Policy, cnn.com

PROFESSIONAL AND COMMUNITY ACTIVITIES

External Evaluator- Mansfield Foundation "Next Generation Leaders Program." Washington, D.C. January-August 2018.

Podcast-- International Association for Political Science Students (April 2018)

Faculty mentor, First Generation Program, MIT

Member, External Review Committee, Harvard University Center for International Affairs, September 2016.

Advisor to Aspen Institute for April 2017 Congressional Visit to South Korea and Japan—September 2017.

Panel Discussant on NHK Television's hour long broadcast: "Global Agenda," September 2015.

Roundtable briefing for General Joseph Dunford, Chairman of the U.S. Joint Chiefs of Staff. August 2015, MIT Center for International Studies.

Panelist, Symposium on "U.S. Allies and Partners in East Asia," National Intelligence Council, August 2015.

Board Member, Board of Trustees, Toyota Technical Institute of Chicago, University of Chicago. 2015-2018

Moderator, Roundtable on Innovation with Prime Minister Shinzō Abe. Massachusetts Institute of Technology, April 2015.

Organizer/Moderator, "The East Asian Security Dilemma," CIS Starr Forum, Massachusetts Institute of Technology, April 2015.

Member, External Review Committee, Harvard University Center for International Affairs, March 2015

Organizer/Moderator, Workshop on Japanese Grand Strategy, MIT Endicott House, March 2015.

Organizer/Moderator, Workshop on Japan in East Asian Security, Free University of Berlin, January 2015.

Member, US-Japan Eminent Persons Group, Chaired by former US Senate Majority Thomas Daschle, former Speaker of the US House of Representatives Dennis Hastert, former Prime Minister Yasuo Fukuda, and former Keidanren Chairman Fujio Mitarai, 2014-2015.

Senior Advisor, Lincoln Laboratory Humanitarian Assistance and Disaster Relief Project, 2014

Member, Board of Trustees - United States-Japan Foundation, 2013- Chair, Personnel Committee, 2016-

Member, Editorial Board - Japanese Political Economy 2013-

Organizer/Moderator - "The Marathon Bombing: A Global Perspective" CIS Starr Forum, Massachusetts Institute of Technology, May 2013.

Organizer/Moderator - "On The Rocks: China and Japan in the East China Sea," CIS Starr Forum, Massachusetts Institute of Technology, April 2013.

Member, Advisory Board of the Japan Disaster Relief Fund, Boston 2011-

Member, Board of Trustees of the Society for Japanese Studies, 2011-

Consultant, National Intelligence Council, 2009 -

Broadcast media appearances to discuss Japan's earthquake, tsunami and nuclear crisis: NPR, WBUR, CNN, KUOW, WERS March 2011

Chair, External Review Committee, Watson Institute, Brown University, 2011.

Member, Scientific Committee of the Italian Institute of Culture in New York, 2010 — .

"Richard Samuels on Japanese Security" Web-based interview for "About Japan," produced by the Japan Society of New York for civics teachers (K-12). Posted April 2010.

Member, Selection Committee, Asia National Research Program, National Bureau of Asian Research, 2010.

Chair, External Review Committee, Belfer Center for Science and International Affairs, Kennedy School of Government, Harvard University 2010.

Advisory Board Member, US-Israel Academic Exchange, 2009-

Briefing on US-Japan relations to Ambassador designate John A. Roos, Washington, DC, August 2009.

Sterling Prize Committee, Yale University, December 2008.

Co-Chair, MIT Global Council, 2008-9.

Keynote Address: Lexington High School/Lions Club Scholarship Dinner, Lexington, MA, May 2008.

Member – Advisory Committee, Inter-University Center for Japanese Studies, Yokohama Japan.

Moderator – "High Tech Industry in Japan" American Chamber of Commerce in Japan, January 2008.

Moderator – Symposium on "Meeting a Rising China" Keidanren, Tokyo, January 2008.

Chair, Presidents Club 35th Reunion Gift Committee, Colgate University, 2007-8.

Member, Editorial Board, Asia Policy, 2005-

Chair, Committee on International Political Science, American Political Science Association, 2005-2008

Fulbright Selection Committee - November 2005.

Chair, External Review Committee, Program on US-Japan Relations, Harvard University, 2005.

Member, Jervis-Schroeder Book Prize Committee, 2005.

Member, Nominating Committee, Comparative Politics Section, American Political Science Association, 2004.

External Assessor: Center for Japanese Studies, National University of Singapore, January 2003.

Chair and Organizer of series of campus-wide Open Forums on Terrorism and U.S. Response, MIT, September-October 2001.

Panel Moderator – Live Webcast from dual US-Japan panel discussion on 50th Anniversary of the San Francisco Peace Treaty, San Francisco, September 2001.

Member, Executive Committee: National Bureau of Asian Research Project on "Tracking the Strategic Environment in Asia," 2001.

Panelist: Office of Net Assessment Workshop on Japanese Security Policy, December 2000.

Chair, Inaugural Meeting of the Study Group on Japanese Foreign Policy, Council on Foreign Relations, Washington, D.C., October 1998.

Evaluator and Co-Chair, Security Studies Review, Center for Global Partnership, 1998.

Member, Advisory Board, Asian Survey, 1997 -

Dean's Advisory Committee, Department of Political Science, Boston University, 1997 -

Member, Economic Strategy Institute Study Group on American Interests in Asia: Economic and Security Priorities, 1996 – 1997.

Member, Council on Foreign Relations Study Group on U.S.-Japan Relations, 1996-1997.

External Assessor: Division of Public Administration, Faculty of Economic & Administration, University of Malaya, 1996 -

External Examiner: Department of Politics and Administrative Studies, University of Malaya, 1996-1999

Member, Abe Program Fellowship Committee of the Social Science Research Council, 1994 - 1996.

Member, Program Committee, National Committee for the Advancement of Research (NCAR-48), 1994.

Member, Dean's Advisory Committee to Review Asian Studies Program, New York University, 1994-

Member, Editorial Advisory Board, <u>Journal of International and Area Studies</u>, Seoul National University, 1994 -

Member, Nominating Committee for Executive Committee on Comparative Politics Group, American Political Science Association, 1994 - 1995.

Vice-Chairman, Committee on Japan of the National Research Council, 1992 -1997.

Member, Committee on Japan of the National Research Council, 1988 -1997.

Associate in Research, Reischauer Institute, Harvard University, 1986 -

Program Committee, National Conference for the Advancement of Research (NCAR - 48), Portland, September 1994.

Member, Joint Committee on Japanese Studies of the American Council of Learned Societies and the Social Science Research Council, 1988 - 1993.

Member, National Research Council Study Group on U.S.-Japan Technology Linkages in Commercial Aircraft, 1993.

Member, U.S. Congressional Office of Technology Assessment Study Group on Multinational Firms and the U.S. Technology Base, 1992 – 1994.

Chairman, Awards and Fellowship Committee, Joint Committee on Japanese Studies of the American Council of Learned Societies and the Social Science Research Council, 1989. Member, Advisory Commission, Congressional Office of Technology Assessment, International Cooperation in Defense Technology, 1989-1990

Member, Advisory Commission, Congressional Office of Technology Assessment, Defense Technology Base, 1987 – 1989.

Member, U.S.-Japan Scientific and Technical Exchange Group, National Academy of Sciences, 1988.

Member, Advisory Commission, Congressional Office Technology Assessment, High Temperature Superconductivity Research Study, 1988.

Member, Visiting Committee, Research Policy Institute, University of Lund, Sweden, 1988.

Member, Advisory Board, Program in Technology Management, Polytechnic University, 1988 - 1994.

Member, Advisory Council, Mansfield Center for Pacific Affairs, Helena, Montana, 1988 - 1991.

Co-director, Japan-U.S. Study Group on East-West Relations and Export Controls, 1987 - 1990.

Director, U.S. Committee for the Japan Fellowships, Washington, DC, 1987 - 1988.

Member, Advisory Commission, Congressional Office of Technology Assessment, International Nuclear Fusion Research Study, 1986.

Member, Council on Foreign Relations Study Group on U.S.-Japan Relations, 1986.

Member, Committee on Japan of the National Research Council, 1988 -1997.

Editorial Advisory Board, <u>Social Science Japan</u>, Institute of Social Sciences, University of Tokyo, 1995-

Editorial Advisory Board, Japanese Technical Information Service, University Microfilms International, 1985 - 1988.

Advisory Committee on Public Affairs, Japan Society of New York, 1984 - 1989.

The Japan Society of Boston, Board of Directors, 1981 - 1990.

American Political Science Association

Association for Asian Studies

Certified Referee, United States Soccer Federation, 1995-2012; National Federation of State High School Associations 2001 –

Referee, Boston Area Youth Soccer 1995-2010; Massachusetts Premier League 1999-2006; Bay State Soccer League 2002-2011; Eastern Massachusetts Soccer Officials Association 2001- 2004; Eastern Massachusetts Women's Soccer League 2004-2011; Independent High School League 2001-2004

Certified Instructor, Massachusetts Urban Angler Program

Co-editor, <u>Shortcasts</u>, Urban Angler's Newsletter, Massachusetts Division of Fisheries and Wildlife, 1982 – 1987

INTERNATIONAL TRAVEL AND RESEARCH EXPERIENCE

Visiting Professor, Free University of Berlin, June-July 2012, August 2014. Einstein Visiting Fellow, June-July 2015, June-July 2016, January 2017, June-July 2017, January-June 2018.

Visiting Professor LUISS, Rome, Italy March-April 2012

Visiting Fellow, Keizai Kōhō Center, Tokyo, 2011-2012

Visiting Research Fellow, Graduate Research Institute for Policy Studies (GRIPS), Tokyo, January and July 2011

Visiting Research Fellow, Yitzhak Rabin Center, Tel Aviv, Israel, July 2010

Visiting Professor, National Graduate Institute for Policy Sciences, Tokyo, one month annually 2009-2011

EAI Fellowship – Seoul, Beijing, Shanghai, December 2009-January 2010

Study Tour – Israel and the Occupied Territories, 2009, 2010

Visiting Professor, National Graduate Institute for Policy Sciences, Tokyo 2009

Visiting Professor, Istituto Italiano di Scienze Umane, Florence, 2009

Visiting Fellow, Istituto Pedro Arrupe, Palermo, 2006

Visiting Fellow, Keizai Kōhō Center, Tokyo, 2005-2006

Visiting Professor, Institute of Social Science, Tokyo University, 2000

Visiting Researcher, Department of Political Science, University of Bologna, Italy, 1999-2000

Visiting Researcher, Mitsubishi Research Institute, 1991-2

Long-term Research on Japanese Politics: Kyoto 1972 - 1973, Tokyo 1977 - 1979, 1983 - 1984, 1991 - 1992, 1999-2000, 2005-2006, and at least once annually 1981-present

Research/lecture tour, Italian Aerospace and Defense Industry, Turin and Rome, Italy, July - August 1993

Visiting Researcher, Science Policy Research Unit, University of Sussex, Brighton, UK, July 1990

Research on Italian Energy Policy, 1983 (guest of ENEA—Italian Atomic Energy Agency)

Study and lecture tours of Western Europe, 1982, 1985, 1988, 1993, 1995, 1996, 1998, and annually 2002-2005, Australia 1989, United Kingdom 1990, 1995, 2004, Korea 1994, China 1995, Southeast Asia 1993, 1994, 1996, 2003

Research on West African Politics, Cape Coast, Ghana, 1972

LANGUAGES

Japanese (advanced) Italian (intermediate) Spanish (latent)

FIELDS OF STUDY

International Relations, Comparative Politics, Security Studies, Japanese Politics, Political Economy, Comparative Urban Politics

PERSONAL DATA

Born: 2 November 1951 (Brooklyn, NY) Married to Debra G. Samuels (1972) Sons: Bradley (1977), Alexander (1980)

8/2018